

From: [Parker, Alexander E.](#)
To: ifrank@oaktreecap.com
Subject: FW: Additional Section 16 Violations Identified
Date: Thursday, December 18, 2025 8:11:00 PM
Attachments: [20251218 - Private Letter to DJCO Board.pdf](#)
[image001.png](#)
Importance: High
Sensitivity: Confidential

Mr. Frank,

Forwarding you the below and attached, given its relevance to the letter addressed to you earlier today, and to ensure your timely receipt, given its urgency.

Alexander

Alexander E. Parker

Chairman of the Board and Chief Executive Officer | Buxton Helmsley, Inc.

As seen in The Wall Street Journal, Reuters, Bloomberg, MarketWatch, The Irish Times, and TheStreet.com

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T +1 (212) 951-1530 | F +1 (212) 641-4349
1185 Avenue of the Americas, Floor 3 | New York, NY 10036-2600

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From: Parker, Alexander E.

Sent: Thursday, December 18, 2025 7:55 PM

To: 'Brian Cardile' <bcardile@journaltech.com>; Steven Myhill-Jones <smj@dailyjournal.com>

Cc: Sayerwin, Scarlet <scarlet.sayerwin@bakertilly.com>; Relampagos, Stella C. <stella.relampagos@bakertilly.com>

Subject: Additional Section 16 Violations Identified

Importance: High

Sensitivity: Confidential

Mr. Cardile,

Please find attached correspondence regarding additional (active) Section 16(a) compliance violations identified at the Company.

It appears that, in the course of filing corrective reports for Mr. Frank and Ms. Conlin, no one noticed (not even the "Director of SEC Reporting") that Mr. Rayani has had no Form 3 or Form 4 filings at all since joining the Board eighteen months ago.

Once again, Baker Tilly is copied here, to observe the unending compliance failures.

Very truly yours,
Alexander

Alexander E. Parker

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